# Microlocal methods for dynamical systems 

## QMath 13 Georgia Tech

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UC Berkeley
October 10, 2016


Dynamical systems

## Dynamical systems



## Dynamical systems: a statistical approach

## Dynamical systems: a statistical approach



Linear
Non-linear

# Dynamical systems: a statistical approach 



Completely integrable
Chaotic

In the chaotic case positions and directions get uniformly distributed:


Typical questions:
How long do we have to wait to have uniform distribution?
Are there periodic orbits and what information to they contain?

In the chaotic case positions and directions get uniformly distributed:


Recent work on the rate of decay for billiards by Baladi-Demers-Liverani '15

A dynamical analogue of the Riemann zeta function:

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Replace $p$ by $\log \ell_{\gamma}$ where $\ell_{\gamma}$ is the length of a prime closed orbit.

A dynamical analogue of the Riemann zeta function: Ruelle zeta function

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\zeta_{\mathrm{D}}(s)=\prod_{\gamma}\left(1-e^{-s \ell_{\gamma}}\right)
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Replace $p$ by $e^{\ell_{\gamma}}$ where $\ell_{\gamma}$ is the length of a prime closed orbit.

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It turns out that the zeros and poles of $\zeta_{\mathrm{D}}$ contain information about statistical properties of the chaotic dynamical system.

That includes the time at which we achieve uniform distribution.

Dynamical zeta functions have been studied by many authors:
Selberg '56, Artin-Mazur '65, Smale '67, Bowen-Lanford '68, Ruelle '76, Milnor-Thurston '77, Parry-Pollicott '83,'90, Pollicott '86, Cvitanović-Eckhardt '91, Mayer, '91, Rugh '96, Fried '86, '95, Kitaev '99, Petkov-Stoyanov '07, Baladi-Tsujii '08, Stoyanov '11, Faure-Tsujii '13, Borthwick-Weich '15, ...


$Y\left(\ell_{1}, \ell_{2}, \phi\right)$ for $\ell_{1}=\ell_{2}=7, \phi=\frac{\pi}{2}$

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Smale '67 conjectured that for Anosov flows $\zeta_{D}$ is meromorphic in $\mathbb{C}$ : "I must admit a positive answer would be a little shocking!"


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\begin{gathered}
T_{\rho} X=E_{0}(\rho) \oplus E_{s}(\rho) \oplus E_{u}(\rho), \quad \rho \mapsto E_{\bullet}(\rho) \text { continuous, } \\
d \varphi_{t}(\rho) E_{\bullet}(\rho)=E_{\bullet}\left(\varphi_{t}(\rho)\right),
\end{gathered}
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\begin{aligned}
& \left|d \varphi_{t}(\rho) v\right|_{\varphi_{t}(\rho)} \leq C e^{-\theta|t|}|v|_{\rho,}, \quad v \in E_{u}(\rho), \quad t<0, \\
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Example: $X=S^{*} M:=\left\{(x, \xi) \in T^{*} M ;|\xi|_{g}^{2}=1\right\}$, where $(M, g)$ is a compact Riemannian manifold of negative curvature.

Theorem (Giulietti-Liverani-Pollicott '12, Dyatlov-Z '13)
For an Anosov flow on a compact manifold $\zeta_{D}(s)$ has a meromorphic continuation to $\mathbb{C}$.

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Our proof uses the microlocal approach to Anosov flows due to Faure-Sjöstrand '11 and radial point propagation of singularities estimates due to Melrose '94 and developed further by Vasy '13.

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The noncompact case (essentially the full Smale conjecture) recently completed by Dyatlov-Guillarmou.


Scattering theory


Faure-Sjöstrand


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Dyatlov-Z


Faure-Sjöstrand


Dyatlov-Guillarmou

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Theorem (Dyatlov-Z '16)
Let $g$ be the genus of $M$. Then $s^{2-2 g} \zeta_{D}(s)$ is holomorphic near $s=0$ and

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In particular, the set of lengths of closed orbits (the length spectrum) determines the genus.

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## A "real" life example

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Rough parameter dependence in climate models and the role of Ruelle-Pollicott resonances,
Chekroun-Neelin-Kondrashov-McWilliams-Ghil, 2014

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$\nu_{1}>0$ for contact flows (and in particular for geodesic Anosov flows): Dolgopyat'98, Liverani '04, Tsujii'12, Nonnenmacher-Z'15.

## A "real" life investigation of the gap

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Rough parameter dependence of the spectral gap in climate models, Chekroun-Neelin-Kondrashov-McWilliams-Ghil, 2014.

## Microlocal analysis (semiclassical version)

- Phase space: $(x, \xi) \in T^{*} X$
- Semiclassical parameter: $h \rightarrow 0$, the effective wavelength
- Classical observables: $a(x, \xi) \in C^{\infty}\left(T^{*} X\right)$
- Quantization: $\mathrm{Op}_{h}(a)=a\left(x, \frac{h}{i} \partial_{x}\right): \mathcal{C}^{\infty}(X) \rightarrow \mathcal{C}^{\infty}(X)$, semiclassical pseudodifferential operator


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Basic examples

- $a(x, \xi)=x_{j} \quad \Longrightarrow \quad \operatorname{Op}_{h}(a)=x_{j} \quad$ multiplication operator
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Classical-quantum correspondence

- $\left[\mathrm{Op}_{h}(a), O p_{h}(b)\right]=\frac{h}{i} O p_{h}(\{a, b\})+\mathcal{O}\left(h^{2}\right)$
- $\{a, b\}=\partial_{\xi} a \cdot \partial_{x} b-\partial_{x} a \cdot \partial_{\xi} b=H_{a} b, \quad e^{t H_{a}}$ Hamiltonian flow
- Example: $\left[\mathrm{Op}_{h}\left(\xi_{k}\right), \mathrm{Op}_{h}\left(x_{j}\right)\right]=\frac{h}{i} \delta_{j k}$


## Standard semiclassical estimates

General question

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Propagation of singularities


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- WF $(\delta(a x-y))=\{(x, a x ;-a \eta, \eta): y \in \mathbb{R}, \xi \in \mathbb{R} \backslash 0\}$

Return to the Ruelle zeta function (with a slight change of convention):

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Theorem (Dyatlov-Z '16)
$\zeta_{k}(\lambda)$ extends to an entire function and the multiplicities of its zeros are given by

$$
\operatorname{dim}\left\{\mathbf{u} \in \mathcal{D}^{\prime}\left(X, \Omega_{0}^{k}\right):\left(\frac{1}{i} \mathcal{L}_{V}-\lambda\right)^{r} \mathbf{u}=0, \mathrm{WF}(\mathbf{u}) \subset E_{u}^{*}\right\}
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where $\Omega_{0}^{k}$ are $k$-forms satisfying $\iota_{V} \mathbf{u}=0$.

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The meromorphy of $z \mapsto(P-z)^{-1}: \mathcal{C}^{\infty}(X) \rightarrow \mathcal{D}^{\prime}(X)$ shows that the poles are simple and residues are positive integers.

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Regularity result:

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Here $L^{2}(X)$ is defined using the smooth invariant measure on $S^{*} M$ :

$$
d \mathrm{vol}:=\alpha \wedge d \alpha
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where $\alpha$ is the contact form,

$$
\begin{gathered}
\alpha=\left.z d \zeta\right|_{S^{*} M}, \quad S^{*} M:=\left\{(z, \zeta) \in T^{*} M:|\zeta|_{g(z)}^{2}=1\right\}, \\
\alpha(V)=1, \quad \iota_{V} d \alpha=0, \quad \operatorname{ker} \alpha(x)=E_{u}(x) \oplus E_{s}(x)
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\begin{aligned}
0=\alpha \wedge d(d u)=\varphi \alpha \wedge d \alpha & \Longrightarrow \varphi=0 \\
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2. Hodge theory: $\exists \varphi \in \mathcal{D}^{\prime}, \mathrm{WF}(\varphi) \subset E_{u}^{*}, \mathbf{u}-d \varphi \in C^{\infty}\left(\Omega^{1}(X)\right)$.

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Y_{1}:=\left\{\mathbf{u} \in \mathcal{D}^{\prime}\left(\Omega^{1}(X)\right): \mathcal{L}_{V}^{r} \mathbf{u}=0, \iota \nu \mathbf{u}=0, \operatorname{WF}(\mathbf{u}) \subset E_{u}^{*}\right\}
\end{gathered}
$$

1. $\mathcal{L}_{V} \mathbf{u}=0$ is equivalent to (since $\iota_{V} \mathbf{u}=0$ ) to $\iota_{V} d \mathbf{u}=0$. Hence $d \mathbf{u}$ is a resonant state for 2 -forms and $d \mathbf{u}=c d \alpha$. Since $\mathbf{u} \wedge d \alpha=\iota_{V} \mathbf{u} \alpha \wedge d \alpha=0$ we have

$$
\operatorname{cvol}(X)=\int d \mathbf{u} \wedge \alpha=\int \mathbf{u} \wedge d \alpha=0
$$

We conclude that $d \mathbf{u}=0$.
2. Hodge theory: $\exists \varphi \in \mathcal{D}^{\prime}, \mathrm{WF}(\varphi) \subset E_{u}^{*}, \mathbf{u}-d \varphi \in C^{\infty}\left(\Omega^{1}(X)\right)$.

$$
Y_{1} \ni \mathbf{u} \mapsto[\mathbf{u}-d \varphi] \in H^{1}(X, \mathbb{C})
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is an isomorphism

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Showing semisimplity is a little bit tricky...

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m_{0}(0)=m_{2}(0)=1, \quad m_{1}(0)=\operatorname{dim} H^{1}(X, \mathbb{C}) .
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Since for surfaces of genus $g \geq 2$,

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H^{1}\left(S^{*} M, \mathbb{C}\right) \simeq H^{1}(M, \mathbb{C})
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it follows that the order of vanishing of $\zeta_{D}$ at 0 is

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m=-m_{0}(0)+m_{1}(0)-m_{2}(0)=- \text { Euler characteristic of } M=2 g-2
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Thanks for your attention!

