Microlocal methods for dynamical systems

QMath 13 Georgia Tech

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Dynamical systems

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Dynamical systems: a statistical approach

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Dynamical systems: a statistical approach

Linear

Non-linear

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Dynamical systems: a statistical approach

Completely integrable

Chaotic

In the chaotic case **positions** and **directions** get uniformly distributed:

Typical questions:

How long do we have to wait to have uniform distribution? Are there periodic orbits and what information to they contain? In the chaotic case positions and directions get uniformly distributed:

Recent work on the rate of decay for billiards by Baladi–Demers–Liverani '15

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A dynamical analogue of the Riemann zeta function:

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Replace p by $\log \ell_{\gamma}$ where ℓ_{γ} is the length of a prime closed orbit.

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$$\zeta_{\mathrm{D}}(s) = \prod_{\gamma} (1 - e^{-s\ell_{\gamma}})$$

Replace p by $e^{\ell_{\gamma}}$ where ℓ_{γ} is the length of a prime closed orbit.

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That includes the time at which we achieve uniform distribution.

Dynamical zeta functions have been studied by many authors:

Selberg '56, Artin-Mazur '65, Smale '67, Bowen-Lanford '68, Ruelle '76, Milnor-Thurston '77, Parry-Pollicott '83,'90, Pollicott '86, Cvitanović-Eckhardt '91, Mayer, '91, Rugh '96, Fried '86, '95, Kitaev '99, Petkov-Stoyanov '07, Baladi-Tsujii '08, Stoyanov '11, Faure-Tsujii '13, Borthwick-Weich '15, ...



 $Y(\ell_1,\ell_2,\phi)$ for $\ell_1=\ell_2=$ 7, $\phi=rac{\pi}{2}$

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Smale '67 conjectured that for Anosov flows ζ_D is meromorphic in \mathbb{C} : "I must admit a positive answer would be a little shocking!"



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 $T_{\rho}X = E_{0}(\rho) \oplus E_{s}(\rho) \oplus E_{u}(\rho), \quad \rho \mapsto E_{\bullet}(\rho) \text{ continuous},$ $d\varphi_{t}(\rho)E_{\bullet}(\rho) = E_{\bullet}(\varphi_{t}(\rho)),$

$$\begin{split} |d\varphi_t(\rho)v|_{\varphi_t(\rho)} &\leq C e^{-\theta|t|} |v|_{\rho}, \quad v \in E_u(\rho), \quad t < 0, \\ |d\varphi_t(\rho)v|_{\varphi_t(\rho)} &\leq C e^{-\theta|t|} |v|_{\rho}, \quad v \in E_s(\rho), \quad t > 0. \end{split}$$



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Example: $X = S^*M := \{(x,\xi) \in T^*M; |\xi|_g^2 = 1\}$, where (M,g) is a compact Riemannian manifold of negative curvature.

Theorem (Giulietti–Liverani–Pollicott '12, Dyatlov–Z '13) For an Anosov flow on a compact manifold $\zeta_D(s)$ has a meromorphic continuation to \mathbb{C} .

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The noncompact case (essentially the full Smale conjecture) recently completed by Dyatlov–Guillarmou.







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$$\zeta_D(s) = \prod_{\gamma} (1 - e^{-s\ell_{\gamma}}), \quad \operatorname{Re} s \gg 1.$$

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Let g be the genus of M. Then $s^{2-2g}\zeta_D(s)$ is holomorphic near s = 0 and

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In particular, the set of lengths of closed orbits (the length spectrum) determines the genus.

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Correlations: $f, g \in \mathcal{C}^{\infty}(X)$,

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A "real" life example

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A "real" life example



Rough parameter dependence in climate models and the role of Ruelle–Pollicott resonances, Chekroun–Neelin–Kondrashov–McWilliams–Ghil, 2014

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Pollicott–Ruelle resonances are the poles of $\hat{\rho}_{f,g}(\lambda)$.

$$\rho_{f,g}(t) = \int_X e^{-itP} f(x)g(x)dx$$

$$m(\lambda) := \dim \left\{ u \in \mathcal{D}'(X) : (\frac{1}{i}V - \lambda)^r u = 0, \ \mathsf{WF}(u) \subset E_u^* \right\}$$
$$E_u^* := (E_u \otimes E_0)^{\perp} \subset T^*X.$$

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Blank-Keller-Liverani'02 ... Faure-Sjöstrand '11...

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Blank-Keller-Liverani'02 ... Faure-Sjöstrand '11...

To obtain exponential decay of correlations one needs a gap:

$$\rho_{f,g}(t) = \int_X e^{-itP} f(x)g(x)dx$$

$$m(\lambda) := \dim \left\{ u \in \mathcal{D}'(X) : \left(\frac{1}{i}V - \lambda\right)^r u = 0, \ \mathsf{WF}(u) \subset E_u^* \right\}$$
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 $\nu_1 > 0$ for contact flows (and in particular for geodesic Anosov flows): Dolgopyat'98, Liverani '04, Tsujii'12, Nonnenmacher–Z'15.

A "real" life investigation of the gap

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Rough parameter dependence of the spectral gap in climate models, Chekroun–Neelin–Kondrashov–McWilliams–Ghil, 2014.

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Microlocal analysis (semiclassical version)

- Phase space: $(x,\xi) \in T^*X$
- Semiclassical parameter: $h \rightarrow 0$, the effective wavelength
- Classical observables: $a(x,\xi) \in C^{\infty}(T^*X)$
- ► Quantization: Op_h(a) = a(x, ^h/_i∂_x) : C[∞](X) → C[∞](X), semiclassical pseudodifferential operator

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Basic examples

►
$$a(x,\xi) = x_j \implies Op_h(a) = x_j$$
 multiplication operator
► $a(x,\xi) = \xi_j \implies Op_h(a) = \frac{h}{i}\partial_{x_j}$

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Microlocal analysis (semiclassical version)

- Phase space: $(x,\xi) \in T^*X$
- ▶ Semiclassical parameter: $h \rightarrow 0$, the effective wavelength
- Classical observables: $a(x,\xi) \in C^{\infty}(T^*X)$
- ► Quantization: Op_h(a) = a(x, ^h/_i∂_x) : C[∞](X) → C[∞](X), semiclassical pseudodifferential operator

Basic examples

►
$$a(x,\xi) = x_j \implies Op_h(a) = x_j$$
 multiplication operator
► $a(x,\xi) = \xi_j \implies Op_h(a) = \frac{h}{i}\partial_{x_j}$

Classical-quantum correspondence

- $\blacktriangleright [\operatorname{Op}_h(a), \operatorname{Op}_h(b)] = \frac{h}{i} \operatorname{Op}_h(\{a, b\}) + \mathcal{O}(h^2)$
- ► $\{a, b\} = \partial_{\xi} a \cdot \partial_{x} b \partial_{x} a \cdot \partial_{\xi} b = H_{a} b$, $e^{tH_{a}}$ Hamiltonian flow

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• Example: $[Op_h(\xi_k), Op_h(x_j)] = \frac{h}{i}\delta_{jk}$

General question

$$P = \operatorname{Op}_h(p), \quad Pu = f \implies ||u|| \lesssim ||f|| ?$$

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Propagation of singularities





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$$\begin{array}{c} (x,\xi)\notin\mathsf{WF}(u)\\ \updownarrow\\ \exists \ a\in\mathcal{C}^\infty_\mathrm{c}(T^*X), \ a(x,\xi)\neq 0, \ \|a(x,hD)u\|_{L^2}=\mathcal{O}(h^\infty)\end{array}$$

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Examples:

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Theorem (Dyatlov–Z '16)

 $\zeta_k(\lambda)$ extends to an entire function and the multiplicities of its zeros are given by

$$\dim \left\{ \mathbf{u} \in \mathcal{D}'(X, \Omega_0^k) \ : \ (\tfrac{1}{i}\mathcal{L}_V - \lambda)^r \mathbf{u} = 0, \ \mathsf{WF}(\mathbf{u}) \subset E_u^* \right\}$$

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where Ω_0^k are k-forms satisfying $\iota_V \mathbf{u} = 0$.

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This is related to the first building block of the zeta function:

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Since

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Propagation through radial sinks (E_u^*) and sources (E_s^*) based on earlier work of Melrose '94 and Vasy '13, gives this.



The meromorphy of $z \mapsto (P - z)^{-1} : \mathcal{C}^{\infty}(X) \to \mathcal{D}'(X)$ shows that the poles are simple and residues are positive integers.

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In general,

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$$\begin{split} \zeta_D(\lambda) &= \frac{\zeta_1(\lambda)}{\zeta_0(\lambda)\zeta_2(\lambda)} \\ m &= m_1(0) - m_0(0) - m_2(0) \\ m_j(0) &= \dim \left\{ \mathbf{u} \in \mathcal{D}'(X, \Omega_0^j) \ : \ \mathcal{L}_V^r \mathbf{u} = 0, \ \mathsf{WF}(\mathbf{u}) \subset E_u^* \right\} \\ \text{where } \Omega_0^j(X) \text{ are } j\text{-forms satisfying } \iota_V \mathbf{u} = 0. \end{split}$$

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Regularity result:

 $\operatorname{Re}\langle Vu, u \rangle_{L^2} \geq 0, \ Vu \in C^{\infty}, \ \mathsf{WF}(u) \subset E_u^* \Longrightarrow u \in C^{\infty}.$

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 $\operatorname{Re}\langle Vu, u \rangle_{L^2} \ge 0, Vu \in C^{\infty}, WF(u) \subset E_u^* \Longrightarrow u \in C^{\infty}.$ Here $L^2(X)$ is defined using the smooth invariant measure on S^*M :

$$d$$
vol := $\alpha \wedge d\alpha$

where α is the contact form,

$$\alpha = zd\zeta|_{S^*M}, \quad S^*M := \{(z,\zeta) \in T^*M : |\zeta|^2_{g(z)} = 1\},$$

$$\alpha(V) = 1, \ \iota_V d\alpha = 0, \ \ker \alpha(x) = E_u(x) \oplus E_s(x)$$

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Proof:

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Proof: If Vu = 0, WF(u) $\subset E_u^*$ then, by the regularity result, $u \in C^{\infty}(X)$.

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Proof: If Vu = 0, $WF(u) \subset E_u^*$ then, by the regularity result, $u \in C^{\infty}(X)$. Also, $u(e^{tV}x) = u(x)$.

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But $\int_X Vud \text{vol} = 0$ so $\text{const} = 0$.

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Showing semisimplity is a little bit tricky...

$$m_0(0) = m_2(0) = 1, \ m_1(0) = \dim H^1(X, \mathbb{C}).$$

Since for surfaces of genus $g \ge 2$,

$$H^1(S^*M,\mathbb{C})\simeq H^1(M,\mathbb{C})$$

it follows that the order of vanishing of ζ_D at 0 is

$$m = -m_0(0) + m_1(0) - m_2(0) = -$$
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Thanks for your attention!

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