

# Universality of transport coefficients in the Haldane-Hubbard model

Alessandro Giuliani, Univ. Roma Tre

Joint work with V. Mastropietro, M. Porta and I. Jauslin

QMath13, Atlanta, October 8, 2016

# Outline

- 1 Overview
- 2 Introduction
- 3 The model and the main results
- 4 Sketch of the proof

# Outline

- 1 Overview
- 2 Introduction
- 3 The model and the main results
- 4 Sketch of the proof

# Overview: Motivations and Setting

- **Motivation:** understand charge transport in interacting systems

# Overview: Motivations and Setting

- **Motivation:** understand charge transport in interacting systems
- **Setting:** interacting electrons on the honeycomb lattice.

# Overview: Motivations and Setting

- **Motivation:** understand charge transport in interacting systems
- **Setting:** interacting electrons on the honeycomb lattice.  
Why the honeycomb lattice?

# Overview: Motivations and Setting

- **Motivation:** understand charge transport in interacting systems
- **Setting:** interacting electrons on the honeycomb lattice.

Why the honeycomb lattice?

- 1 Interest comes from graphene and graphene-like materials  $\Rightarrow$  peculiar transport properties, growing technological applications

# Overview: Motivations and Setting

- **Motivation:** understand charge transport in interacting systems
- **Setting:** interacting electrons on the honeycomb lattice.

Why the honeycomb lattice?

- ① Interest comes from graphene and graphene-like materials  $\Rightarrow$  peculiar transport properties, growing technological applications
- ② Interacting graphene is accessible to rigorous analysis  $\Rightarrow$  benchmarks for the theory of interacting quantum transport



# Overview: Motivations and Setting

- **Motivation:** understand charge transport in interacting systems
- **Setting:** interacting electrons on the honeycomb lattice.  
Why the honeycomb lattice?
  - ① Interest comes from graphene and graphene-like materials  $\Rightarrow$  peculiar transport properties, growing technological applications
  - ② Interacting graphene is accessible to rigorous analysis  $\Rightarrow$  benchmarks for the theory of interacting quantum transport
- **Model:** Haldane-Hubbard, simplest interacting Chern insulator.  
Several approximate and numerical results available.  
Very few (if none) rigorous results.

# Overview: Results

- **Results:** at weak coupling, we construct the topological phase diagram of the Haldane-Hubbard model.

# Overview: Results

- **Results:** at weak coupling, we construct the topological phase diagram of the Haldane-Hubbard model. In particular:

# Overview: Results

- **Results:** at weak coupling, we construct the topological phase diagram of the Haldane-Hubbard model. In particular:
  - ① we compute the **dressed critical line**

# Overview: Results

- **Results:** at weak coupling, we construct the topological phase diagram of the Haldane-Hubbard model. In particular:
  - ① we compute the **dressed critical line**
  - ② we construct the **critical theory** on the critical line

# Overview: Results

- **Results:** at weak coupling, we construct the topological phase diagram of the Haldane-Hubbard model. In particular:
  - 1 we compute the **dressed critical line**
  - 2 we construct the **critical theory** on the critical line
  - 3 we prove **quantization of Hall conductivity** outside the critical line

# Overview: Results

- **Results:** at weak coupling, we construct the topological phase diagram of the Haldane-Hubbard model. In particular:
  - ① we compute the **dressed critical line**
  - ② we construct the **critical theory** on the critical line
  - ③ we prove **quantization of Hall conductivity** outside the critical line
  - ④ we prove **quantization of longitudinal conductivity** on the critical line

# Overview: Results

- **Results:** at weak coupling, we construct the topological phase diagram of the Haldane-Hubbard model. In particular:
  - ① we compute the **dressed critical line**
  - ② we construct the **critical theory** on the critical line
  - ③ we prove **quantization of Hall conductivity** outside the critical line
  - ④ we prove **quantization of longitudinal conductivity** on the critical line
- **Method:** constructive Renormalization Group +  
+ lattice symmetries + Ward Identities + Schwinger-Dyson

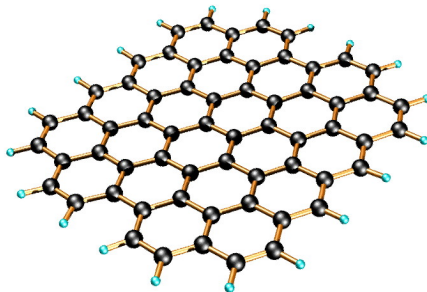


# Outline

- 1 Overview
- 2 Introduction**
- 3 The model and the main results
- 4 Sketch of the proof

# Graphene

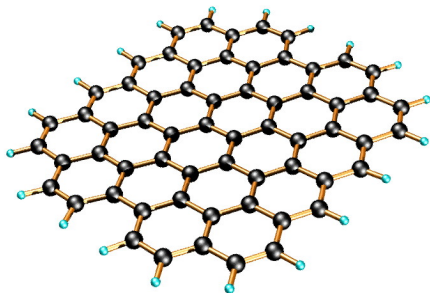
**Graphene** is a 2D allotrope of carbon: single layer of graphite.



First isolated by Geim and Novoselov in 2004 ([Nobel prize, 2010](#)).

# Graphene

**Graphene** is a 2D allotrope of carbon: single layer of graphite.

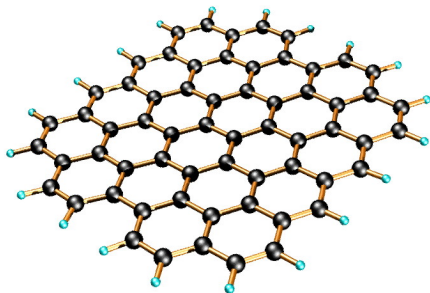


First isolated by Geim and Novoselov in 2004 ([Nobel prize, 2010](#)).

Graphene and graphene-like materials have unusual, and remarkable, mechanical and electronic transport properties.

# Graphene

**Graphene** is a 2D allotrope of carbon: single layer of graphite.



First isolated by Geim and Novoselov in 2004 ([Nobel prize, 2010](#)).

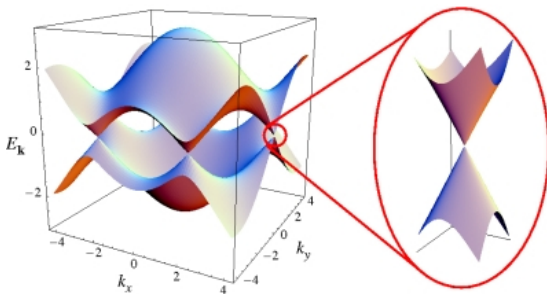
Graphene and graphene-like materials have unusual, and remarkable, mechanical and electronic transport properties.

Here we shall focus on its **transport properties**.

# Graphene

Peculiar transport properties due to its **unusual band structure**:

- at **half-filling** the Fermi surface degenerates into **two Fermi points**
- Low energy excitations: 2D **massless Dirac fermions** ( $v \simeq c/300$ )  $\Rightarrow$  ‘semi-metallic’ QED-like behavior at non-relativistic energies

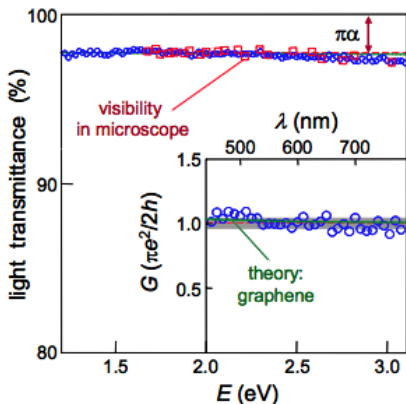


# Minimal conductivity

Signatures of the relativistic nature of quasi-particles:

- 1 **Minimal conductivity** at zero charge carriers density.

Measurable at  $T = 20^\circ \text{C}$  from  $t(\omega) = \frac{1}{(1+2\pi\sigma(\omega)/c)^2}$

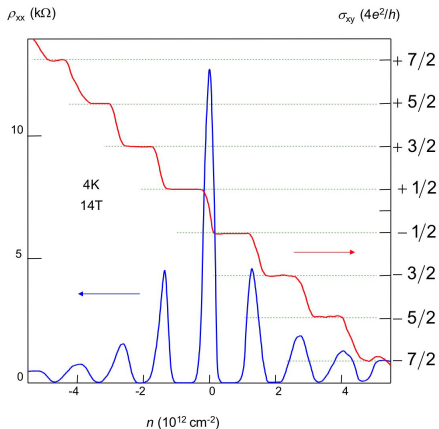


For clean samples and  $k_B T \ll \hbar \omega \ll \text{bandwidth}$ ,

$$\sigma(\omega) = \sigma_0 = \frac{\pi e^2}{2 h}$$

# Anomalous QHE

- ② Constant transverse magnetic field: **anomalous IQHE**.  
 Shifted plateaus:  $\sigma_{12} = 4\frac{e^2}{h}(N + \frac{1}{2})$ :

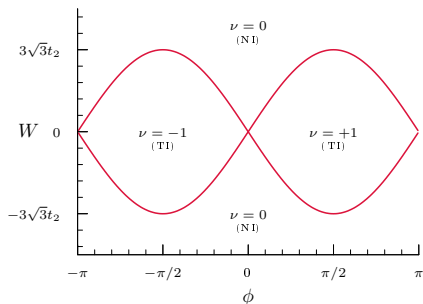


Observable at  $T = 20^\circ$ .

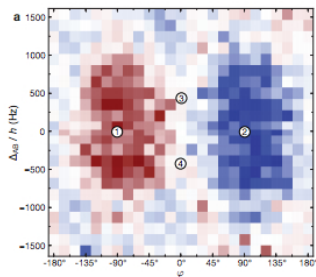
At low temperatures:  
 plateaus measured at  
 $\sim 5 \times 10^{-11}$  precision.

# QHE without net magnetic flux

- ⑧ Another unusual setting for IQHE with **zero net magnetic flux**: proposal by Haldane in 1988 ([Nobel prize 2016](#)). Main ingredients:
  - dipolar magnetic field  $\Rightarrow$  n-n-n hopping  $t_2$  acquires **complex phase**
  - **staggered potential** on the sites of the two sub-lattices



Phase diagram (predicted...)



(... and measured, [Esslinger et al. '14](#))



# Theoretical understanding

These properties are well understood for **non-interacting fermions**. E.g.,

# Theoretical understanding

These properties are well understood for **non-interacting fermions**. E.g.,

- **QHE**: let  $P_\mu = \chi(H \leq \mu) =$  Fermi proj. **If**  $\mathbf{E}|P_\mu(x; y)| \leq Ce^{-c|x-y|}$ , i.e.,  $\mu \in$  **spectral gap**, or  $\mu \in$  **mobility gap**:

$$\sigma_{12} = \frac{ie^2}{\hbar} \text{Tr} P_\mu [[X_1, P_\mu], [X_2, P_\mu]] \in \frac{e^2}{h} \cdot \mathbb{Z}$$

(Thouless-Kohmoto-Nightingale-Den Nijs '82, Avron-Seiler-Simon '83, '94, Bellissard-van Elst-Schulz Baldes '94, Aizenman-Graf '98...)

# Theoretical understanding

These properties are well understood for **non-interacting fermions**. E.g.,

- **QHE**: let  $P_\mu = \chi(H \leq \mu) =$  Fermi proj. If  $\mathbf{E}|P_\mu(x; y)| \leq Ce^{-c|x-y|}$ , i.e.,  $\mu \in$  **spectral gap**, or  $\mu \in$  **mobility gap**:

$$\sigma_{12} = \frac{ie^2}{\hbar} \text{Tr} P_\mu [[X_1, P_\mu], [X_2, P_\mu]] \in \frac{e^2}{h} \cdot \mathbb{Z}$$

(Thouless-Kohmoto-Nightingale-Den Nijs '82, Avron-Seiler-Simon '83, '94, Bellissard-van Elst-Schulz Baldes '94, Aizenman-Graf '98...)

- **Minimal conductivity**: gapless, semi-metallic, ground state. Exact computation in a model of free Dirac fermions (Ludwig-Fisher-Shankar-Grinstein '94), or in tight binding model (Stauber-Peres-Geim '08).

## Effects of interactions?

What are the **effects of electron-electron interactions**? In graphene, interaction strength is **intermediate/large**:

$$\alpha = \frac{e^2}{\hbar v} \sim 2.2$$

and has visible effects on, e.g., the Fermi velocity.

## Effects of interactions?

What are the **effects of electron-electron interactions**? In graphene, interaction strength is **intermediate/large**:

$$\alpha = \frac{e^2}{\hbar v} \sim 2.2$$

and has visible effects on, e.g., the Fermi velocity.  
But: **no effects on conductivities!** Why?

## Effects of interactions?

What are the **effects of electron-electron interactions**? In graphene, interaction strength is **intermediate/large**:

$$\alpha = \frac{e^2}{\hbar v} \sim 2.2$$

and has visible effects on, e.g., the Fermi velocity.

But: **no effects on conductivities!** Why?

- **QHE**. Folklore: interactions do not affect  $\sigma_{12}$  because it is ‘topologically protected’. But: geometrical interpretation of interacting Hall conductivity is unclear.

# Effects of interactions?

What are the **effects of electron-electron interactions**? In graphene, interaction strength is **intermediate/large**:

$$\alpha = \frac{e^2}{\hbar v} \sim 2.2$$

and has visible effects on, e.g., the Fermi velocity.

But: **no effects on conductivities!** Why?

- **QHE**. Folklore: interactions do not affect  $\sigma_{12}$  because it is ‘topologically protected’. But: geometrical interpretation of interacting Hall conductivity is unclear.
- **Minimal longitudinal conductivity**: no geometrical interpretation. Cancellations due to Ward Identities? Big debate in the graphene community, still ongoing (Mishchenko, Herbut-Juričić-Vafek, Sheehy-Schmalian, Katsnelson et al., Rosenstein-Lewkowicz-Maniv ...)

# Rigorous results, I

In 2009, we started developing a rigorous Renormalization Group construction of the ground state of tight-binding interacting graphene models.

- ① **Short-range interactions:** analyticity of the ground state correlations [Giuliani-Mastropietro '09, '10](#)



# Rigorous results, I

In 2009, we started developing a rigorous Renormalization Group construction of the ground state of tight-binding interacting graphene models.

- ① **Short-range interactions:** analyticity of the ground state correlations [Giuliani-Mastropietro '09, '10](#)
- ② **Coulomb interactions:** proposal of a lattice gauge theory model, construction of the g.s. at all orders, gap generation by Peierls'-Kekulé instability [Giuliani-Mastropietro-Porta '10, '12](#)

# Rigorous results, I

In 2009, we started developing a rigorous Renormalization Group construction of the ground state of tight-binding interacting graphene models.

- ① **Short-range interactions**: analyticity of the ground state correlations [Giuliani-Mastropietro '09, '10](#)
- ② **Coulomb interactions**: proposal of a lattice gauge theory model, construction of the g.s. at all orders, gap generation by Peierls'-Kekulé instability [Giuliani-Mastropietro-Porta '10, '12](#)
- ③ **Longitudinal conductivity** w. short-range int.: universality of the minimal conductivity [Giuliani-Mastropietro-Porta '11, '12](#)

# Rigorous results, I

In 2009, we started developing a rigorous Renormalization Group construction of the ground state of tight-binding interacting graphene models.

- ① **Short-range interactions:** analyticity of the ground state correlations [Giuliani-Mastropietro '09, '10](#)
- ② **Coulomb interactions:** proposal of a lattice gauge theory model, construction of the g.s. at all orders, gap generation by Peierls'-Kekulé instability [Giuliani-Mastropietro-Porta '10, '12](#)
- ③ **Longitudinal conductivity** w. short-range int.: universality of the minimal conductivity [Giuliani-Mastropietro-Porta '11, '12](#)
- ④ **Transverse conductivity** w. short-range int.: universality of the Hall conductivity, with  $U \ll \text{gap}$  [Giuliani-Mastropietro-Porta '15](#)

# Rigorous results, I

In 2009, we started developing a rigorous Renormalization Group construction of the ground state of tight-binding interacting graphene models.

- ① **Short-range interactions:** analyticity of the ground state correlations [Giuliani-Mastropietro '09, '10](#)
- ② **Coulomb interactions:** proposal of a lattice gauge theory model, construction of the g.s. at all orders, gap generation by Peierls'-Kekulé instability [Giuliani-Mastropietro-Porta '10, '12](#)
- ③ **Longitudinal conductivity** w. short-range int.: universality of the minimal conductivity [Giuliani-Mastropietro-Porta '11, '12](#)
- ④ **Transverse conductivity** w. short-range int.: universality of the Hall conductivity, with  $U \ll \text{gap}$  [Giuliani-Mastropietro-Porta '15](#)

**Today:** Universality of  $\sigma_{12}$  (up to the critical line) and of  $\sigma_{11}$  (on the critical line) in the weakly interacting Haldane-Hubbard model.

## Rigorous results, II

Previous results on quantization of Hall cond. in interacting systems:

Consider clean systems, and **assume** that  $\exists$  gap above the **interacting** ground state (unproven in most physically relevant cases).

## Rigorous results, II

Previous results on quantization of Hall cond. in interacting systems:

Consider clean systems, and **assume** that  $\exists$  gap above the **interacting** ground state (unproven in most physically relevant cases).

- Fröhlich et al. '91,... Effective field theory approach: gauge theory of phases of matter. Quantization of the Hall conductivity as a consequence of the chiral anomaly.

## Rigorous results, II

Previous results on quantization of Hall cond. in interacting systems:

Consider clean systems, and **assume** that  $\exists$  gap above the **interacting** ground state (unproven in most physically relevant cases).

- Fröhlich et al. '91,... Effective field theory approach: gauge theory of phases of matter. Quantization of the Hall conductivity as a consequence of the chiral anomaly.
- **Thm:** Hastings-Michalakis '14. Gapped interacting fermions on a 2D lattice, geometrical formula for  $\sigma_{12}$  in terms of  $N$ -body projector.

$$\sigma_{12} = \frac{e^2}{h} \cdot n + (\text{exp. small in the size of the system})$$

## Rigorous results, II

Previous results on quantization of Hall cond. in interacting systems:

Consider clean systems, and **assume** that  $\exists$  gap above the **interacting** ground state (unproven in most physically relevant cases).

- Fröhlich et al. '91,... Effective field theory approach: gauge theory of phases of matter. Quantization of the Hall conductivity as a consequence of the chiral anomaly.
- **Thm:** Hastings-Michalakis '14. Gapped interacting fermions on a 2D lattice, geometrical formula for  $\sigma_{12}$  in terms of  $N$ -body projector.

$$\sigma_{12} = \frac{e^2}{h} \cdot n + (\text{exp. small in the size of the system})$$

**No** constructive way of computing  $n$ . E.g., the result does not exclude  $n \equiv n(\text{size})$ .



## Rigorous results, II

Previous results on quantization of Hall cond. in interacting systems:

Consider clean systems, and **assume** that  $\exists$  gap above the **interacting** ground state (unproven in most physically relevant cases).

- Fröhlich et al. '91,... Effective field theory approach: gauge theory of phases of matter. Quantization of the Hall conductivity as a consequence of the chiral anomaly.
- **Thm:** Hastings-Michalakis '14. Gapped interacting fermions on a 2D lattice, geometrical formula for  $\sigma_{12}$  in terms of  $N$ -body projector.

$$\sigma_{12} = \frac{e^2}{h} \cdot n + (\text{exp. small in the size of the system})$$

**No** constructive way of computing  $n$ . E.g., the result does not exclude  $n \equiv n(\text{size})$ .

Note: our method: **no topology/geometry**, **no assumption on gap**: decay of interacting correlations + cancellations from WI and SD.

# Outline

- 1 Overview
- 2 Introduction
- 3 The model and the main results**
- 4 Sketch of the proof

# The lattice and the Hamiltonian

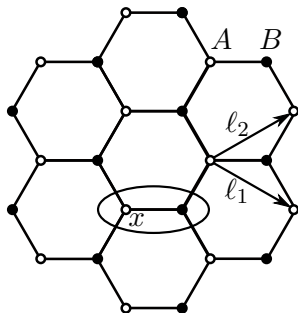


Figure: Dimer  $\rightsquigarrow (a_{x,\sigma}^\pm, b_{x,\sigma}^\pm)$ .

- **Hamiltonian:**  $\mathcal{H} = \mathcal{H}_0 + U\mathcal{V}$ , where

$\mathcal{H}_0 =$  n.n. + complex n.n.n. hopping + staggered potential  $- \mu\mathcal{N}$

$$\mathcal{V} = \sum_x (n_{x,\uparrow}^A n_{x,\downarrow}^A + n_{x,\uparrow}^B n_{x,\downarrow}^B)$$

# Conductivity

- Finite temperature, finite volume Gibbs state (eventually,  $\beta, L \rightarrow \infty$ ):

$$\langle \cdot \rangle_{\beta, L} = \frac{\text{Tr} \cdot e^{-\beta \mathcal{H}}}{\mathcal{Z}_{\beta, L}} .$$

# Conductivity

- Finite temperature, finite volume Gibbs state (eventually,  $\beta, L \rightarrow \infty$ ):

$$\langle \cdot \rangle_{\beta, L} = \frac{\text{Tr} \cdot e^{-\beta \mathcal{H}}}{\mathcal{Z}_{\beta, L}} .$$

- Conductivity defined via **Kubo formula** ( $e^2 = \hbar = 1$ ):

$$\sigma_{ij} := \lim_{\eta \rightarrow 0^+} \frac{i}{\eta} \left( \int_{-\infty}^0 dt e^{\eta t} \langle [e^{i\mathcal{H}t} \mathcal{J}_i e^{-i\mathcal{H}t}, \mathcal{J}_j] \rangle_{\infty} - \langle [\mathcal{J}_i, \mathcal{X}_j] \rangle_{\infty} \right)$$

# Conductivity

- Finite temperature, finite volume Gibbs state (eventually,  $\beta, L \rightarrow \infty$ ):

$$\langle \cdot \rangle_{\beta, L} = \frac{\text{Tr} \cdot e^{-\beta \mathcal{H}}}{\mathcal{Z}_{\beta, L}} .$$

- Conductivity defined via **Kubo formula** ( $e^2 = \hbar = 1$ ):

$$\sigma_{ij} := \lim_{\eta \rightarrow 0^+} \frac{i}{\eta} \left( \int_{-\infty}^0 dt e^{\eta t} \langle [e^{i\mathcal{H}t} \mathcal{J}_i e^{-i\mathcal{H}t}, \mathcal{J}_j] \rangle_{\infty} - \langle [\mathcal{J}_i, \mathcal{X}_j] \rangle_{\infty} \right)$$

where:  $\mathcal{X} = \sum_{x, \sigma} (x n_{x, \sigma}^A + (x + \delta_1) n_{x, \sigma}^B) =$  **position operator** and

# Conductivity

- Finite temperature, finite volume Gibbs state (eventually,  $\beta, L \rightarrow \infty$ ):

$$\langle \cdot \rangle_{\beta, L} = \frac{\text{Tr} \cdot e^{-\beta \mathcal{H}}}{\mathcal{Z}_{\beta, L}} .$$

- Conductivity defined via **Kubo formula** ( $e^2 = \hbar = 1$ ):

$$\sigma_{ij} := \lim_{\eta \rightarrow 0^+} \frac{i}{\eta} \left( \int_{-\infty}^0 dt e^{\eta t} \langle [e^{i\mathcal{H}t} \mathcal{J}_i e^{-i\mathcal{H}t}, \mathcal{J}_j] \rangle_{\infty} - \langle [\mathcal{J}_i, \mathcal{X}_j] \rangle_{\infty} \right)$$

where:  $\mathcal{X} = \sum_{x, \sigma} (x n_{x, \sigma}^A + (x + \delta_1) n_{x, \sigma}^B) =$  **position operator** and

$$\mathcal{J} := i[\mathcal{H}, \mathcal{X}] = \text{current operator} , \quad \langle \cdot \rangle_{\infty} = \lim_{\beta, L \rightarrow \infty} L^{-2} \langle \cdot \rangle_{\beta, L} .$$

# Conductivity

- Finite temperature, finite volume Gibbs state (eventually,  $\beta, L \rightarrow \infty$ ):

$$\langle \cdot \rangle_{\beta, L} = \frac{\text{Tr} \cdot e^{-\beta \mathcal{H}}}{\mathcal{Z}_{\beta, L}} .$$

- Conductivity defined via **Kubo formula** ( $e^2 = \hbar = 1$ ):

$$\sigma_{ij} := \lim_{\eta \rightarrow 0^+} \frac{i}{\eta} \left( \int_{-\infty}^0 dt e^{\eta t} \langle [e^{i\mathcal{H}t} \mathcal{J}_i e^{-i\mathcal{H}t}, \mathcal{J}_j] \rangle_{\infty} - \langle [\mathcal{J}_i, \mathcal{X}_j] \rangle_{\infty} \right)$$

where:  $\mathcal{X} = \sum_{x, \sigma} (x n_{x, \sigma}^A + (x + \delta_1) n_{x, \sigma}^B) =$  **position operator** and

$$\mathcal{J} := i[\mathcal{H}, \mathcal{X}] = \text{current operator} , \quad \langle \cdot \rangle_{\infty} = \lim_{\beta, L \rightarrow \infty} L^{-2} \langle \cdot \rangle_{\beta, L} .$$

- Kubo formula: **linear response** at  $t = 0$ , after having switched on adiabatically a weak **external field**  $e^{\eta t} E$  at  $t = -\infty$



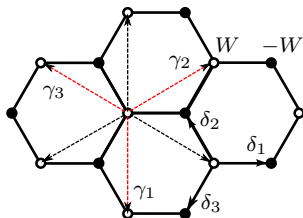
# The non-interacting Hamiltonian (Haldane model)

- Haldane '88. N.n. + complex n.n.n. hopping + staggered potential  $-\mu\mathcal{N}$

# The non-interacting Hamiltonian (Haldane model)

- Haldane '88. N.n. + complex n.n.n. hopping + staggered potential  $-\mu\mathcal{N}$

- N.n. hopping:  $t_1$
- N.n.n. hopping:  $t_2e^{i\phi}$  (black),  $t_2e^{-i\phi}$  (red).

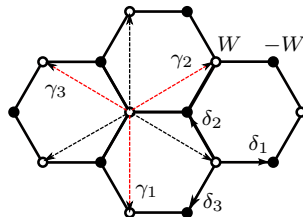


# The non-interacting Hamiltonian (Haldane model)

- Haldane '88. N.n. + complex n.n.n. hopping + staggered potential  $-\mu\mathcal{N}$

$$\begin{aligned}
 \mathcal{H}_0 = & t_1 \sum_{x,\sigma} [a_{x,\sigma}^+ b_{x,\sigma}^- + a_{x,\sigma}^+ b_{x-\ell_1,\sigma}^- + a_{x,\sigma}^+ b_{x-\ell_2,\sigma}^- + h.c.] \\
 & + t_2 \sum_{x,\sigma} \sum_{\substack{\alpha=\pm \\ j=1,2,3}} [e^{i\alpha\phi} a_{x,\sigma}^+ a_{x+\alpha\gamma_j,\sigma}^- + e^{-i\alpha\phi} b_{x,\sigma}^+ b_{x+\alpha\gamma_j,\sigma}^-] \\
 & + W \sum_{x,\sigma} [a_{x,\sigma}^+ a_{x,\sigma}^- - b_{x,\sigma}^+ b_{x,\sigma}^-] - \mu \sum_{x,\sigma} [a_{x,\sigma}^+ a_{x,\sigma}^- + b_{x,\sigma}^+ b_{x,\sigma}^-]
 \end{aligned}$$

- N.n. hopping:  $t_1$
- N.n.n. hopping:  $t_2 e^{i\phi}$  (black),  $t_2 e^{-i\phi}$  (red).



# The non-interacting Hamiltonian (Haldane model)

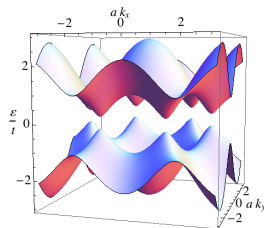
- Haldane '88. N.n. + complex n.n.n. hopping + staggered potential  $-\mu\mathcal{N}$

$$\begin{aligned}
 \mathcal{H}_0 = & t_1 \sum_{x,\sigma} [a_{x,\sigma}^+ b_{x,\sigma}^- + a_{x,\sigma}^+ b_{x-\ell_1,\sigma}^- + a_{x,\sigma}^+ b_{x-\ell_2,\sigma}^- + h.c.] \\
 & + t_2 \sum_{x,\sigma} \sum_{\substack{\alpha=\pm \\ j=1,2,3}} [e^{i\alpha\phi} a_{x,\sigma}^+ a_{x+\alpha\gamma_j,\sigma}^- + e^{-i\alpha\phi} b_{x,\sigma}^+ b_{x+\alpha\gamma_j,\sigma}^-] \\
 & + W \sum_{x,\sigma} [a_{x,\sigma}^+ a_{x,\sigma}^- - b_{x,\sigma}^+ b_{x,\sigma}^-] - \mu \sum_{x,\sigma} [a_{x,\sigma}^+ a_{x,\sigma}^- + b_{x,\sigma}^+ b_{x,\sigma}^-]
 \end{aligned}$$

- Gapped system. Gaps:

$$\Delta_{\pm} = |m_{\pm}|, \quad m_{\pm} = W \pm 3\sqrt{3}t_2 \sin \phi.$$

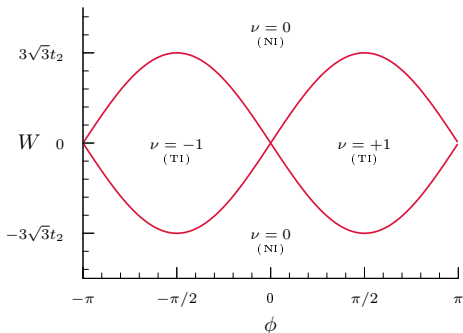
= “mass” of Dirac fermions.



# Non-interacting phase diagram

- If  $U = 0$ ,  $\mu$  is kept in between the two bands, and  $m_{\pm} \neq 0$ :

$$\sigma_{12} = \frac{2e^2}{h} \nu, \quad \nu = \frac{1}{2} [\text{sgn}(m_-) - \text{sgn}(m_+)]$$



- Simplest model of **topological insulator**.  
Building brick for more complex systems (*e.g.* Kane-Mele model).

# Phase transitions in the Haldane-Hubbard model

Theorem (Giuliani, Jauslin, Mastropietro, Porta 2016)

There exists  $U_0 > 0$  and a function (“renormalized mass”)

$$m_{R,\omega} = m_\omega + F_\omega(m_\pm; U) \quad \text{where} \quad F_\omega = O(U), \quad \omega = \pm$$

such that, for  $U \in (-U_0, U_0)$ , choosing  $\mu = \mu(m_\pm; U)$ :

$$\sigma_{12} = \frac{e^2}{h} [\text{sgn}(m_{R,-}) - \text{sgn}(m_{R,+})], \quad \text{if} \quad m_{R,\pm} \neq 0,$$

$$\sigma_{ii}^{cr} := \sigma_{ii}|_{m_{R,\omega}=0} = \frac{e^2}{h} \frac{\pi}{4}, \quad \text{if} \quad m_{R,-\omega} \neq 0.$$

# Phase transitions in the Haldane-Hubbard model

Theorem (Giuliani, Jauslin, Mastropietro, Porta 2016)

There exists  $U_0 > 0$  and a function (“renormalized mass”)

$$m_{R,\omega} = m_\omega + F_\omega(m_\pm; U) \quad \text{where} \quad F_\omega = O(U), \quad \omega = \pm$$

such that, for  $U \in (-U_0, U_0)$ , choosing  $\mu = \mu(m_\pm; U)$ :

$$\sigma_{12} = \frac{e^2}{h} [\text{sgn}(m_{R,-}) - \text{sgn}(m_{R,+})], \quad \text{if} \quad m_{R,\pm} \neq 0,$$

$$\sigma_{ii}^{cr} := \sigma_{ii}|_{m_{R,\omega}=0} = \frac{e^2}{h} \frac{\pi}{4}, \quad \text{if} \quad m_{R,-\omega} \neq 0.$$

**Remarks:**

# Phase transitions in the Haldane-Hubbard model

Theorem (Giuliani, Jauslin, Mastropietro, Porta 2016)

There exists  $U_0 > 0$  and a function (“renormalized mass”)

$$m_{R,\omega} = m_\omega + F_\omega(m_\pm; U) \quad \text{where} \quad F_\omega = O(U), \quad \omega = \pm$$

such that, for  $U \in (-U_0, U_0)$ , choosing  $\mu = \mu(m_\pm; U)$ :

$$\sigma_{12} = \frac{e^2}{h} [\text{sgn}(m_{R,-}) - \text{sgn}(m_{R,+})], \quad \text{if} \quad m_{R,\pm} \neq 0,$$

$$\sigma_{ii}^{cr} := \sigma_{ii}|_{m_{R,\omega}=0} = \frac{e^2}{h} \frac{\pi}{4}, \quad \text{if} \quad m_{R,-\omega} \neq 0.$$

Remarks:

- $m_{R,\pm} = 0$  : **renormalized** critical lines.



# Phase transitions in the Haldane-Hubbard model

Theorem (Giuliani, Jauslin, Mastropietro, Porta 2016)

There exists  $U_0 > 0$  and a function (“renormalized mass”)

$$m_{R,\omega} = m_\omega + F_\omega(m_\pm; U) \quad \text{where} \quad F_\omega = O(U), \quad \omega = \pm$$

such that, for  $U \in (-U_0, U_0)$ , choosing  $\mu = \mu(m_\pm; U)$ :

$$\sigma_{12} = \frac{e^2}{h} [\text{sgn}(m_{R,-}) - \text{sgn}(m_{R,+})], \quad \text{if } m_{R,\pm} \neq 0,$$

$$\sigma_{ii}^{cr} := \sigma_{ii}|_{m_{R,\omega}=0} = \frac{e^2}{h} \frac{\pi}{4}, \quad \text{if } m_{R,-\omega} \neq 0.$$

## Remarks:

- $m_{R,\pm} = 0$  : **renormalized** critical lines.
- If  $m_{R,+} = m_{R,-} = 0 \Rightarrow \sigma_{ii}^{cr} = (e^2/h)(\pi/2)$ . Same as **interacting graphene**:  
Giuliani, Mastropietro, Porta '11, '12.

# Phase transitions in the Haldane-Hubbard model

Theorem (Giuliani, Jauslin, Mastropietro, Porta 2016)

There exists  $U_0 > 0$  and a function (“renormalized mass”)

$$m_{R,\omega} = m_\omega + F_\omega(m_\pm; U) \quad \text{where} \quad F_\omega = O(U), \quad \omega = \pm$$

such that, for  $U \in (-U_0, U_0)$ , choosing  $\mu = \mu(m_\pm; U)$ :

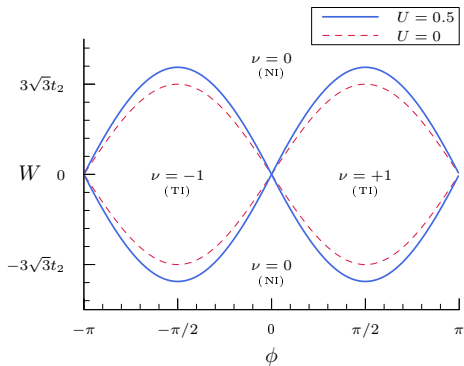
$$\sigma_{12} = \frac{e^2}{h} [\text{sgn}(m_{R,-}) - \text{sgn}(m_{R,+})], \quad \text{if } m_{R,\pm} \neq 0,$$

$$\sigma_{ii}^{cr} := \sigma_{ii}|_{m_{R,\omega}=0} = \frac{e^2}{h} \frac{\pi}{4}, \quad \text{if } m_{R,-\omega} \neq 0.$$

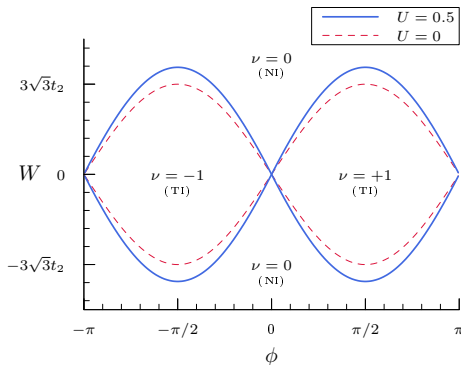
## Remarks:

- $m_{R,\pm} = 0$  : **renormalized** critical lines.
- If  $m_{R,+} = m_{R,-} = 0 \Rightarrow \sigma_{ii}^{cr} = (e^2/h)(\pi/2)$ . Same as **interacting graphene**:  
Giuliani, Mastropietro, Porta '11, '12.
- For each  $\omega = \pm$ , **unique** solution to  $m_{R,\omega} = 0$  (no bifurcation).

# Renormalized transition curves

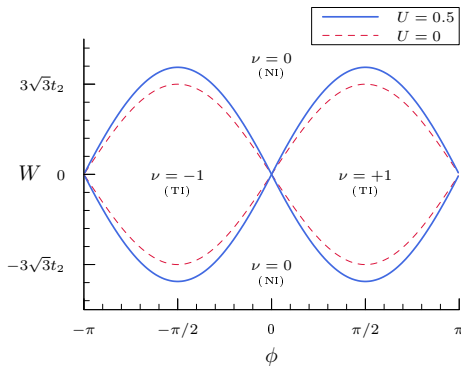


# Renormalized transition curves



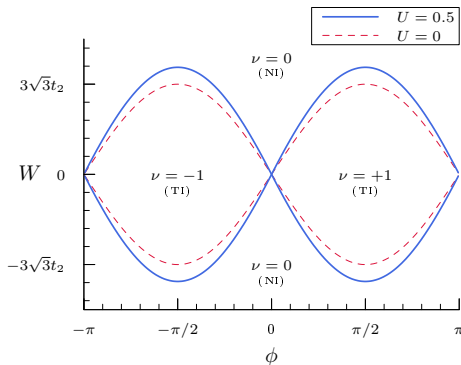
- **Away** from the **blue curve** the correlations decay exponentially fast.  
**On** the **blue curve** the decay is algebraic  $\Rightarrow$  **chiral semi-metal**.

# Renormalized transition curves



- **Away** from the **blue curve** the correlations decay exponentially fast.  
**On** the **blue curve** the decay is algebraic  $\Rightarrow$  **chiral semi-metal**.
- Repulsive interactions **enhance** the topological insulator phase

# Renormalized transition curves



- **Away** from the **blue curve** the correlations decay exponentially fast.  
**On** the **blue curve** the decay is algebraic  $\Rightarrow$  **chiral semi-metal**.
- Repulsive interactions **enhance** the topological insulator phase
- We rigorously **exclude** the appearance of **novel phases** in the vicinity of the unperturbed critical lines.

# Outline

- 1 Overview
- 2 Introduction
- 3 The model and the main results
- 4 Sketch of the proof

# Main strategy, I

**Step 1:** We employ **constructive field theory** methods (**fermionic Renormalization Group**: determinant expansion, Gram-Hadamard bounds, ...) to prove that:

- the **Euclidean** correlation functions are **analytic** in  $U$ , uniformly in the renormalized mass, and decay at least like  $|\mathbf{x}|^{-2}$  at large space-(imaginary)time separations.



# Main strategy, I

**Step 1:** We employ **constructive field theory** methods (**fermionic Renormalization Group**: determinant expansion, Gram-Hadamard bounds, ...) to prove that:

- the **Euclidean** correlation functions are **analytic** in  $U$ , uniformly in the renormalized mass, and decay at least like  $|\mathbf{x}|^{-2}$  at large space-(imaginary)time separations.

The result builds upon the theory developed by [Gawedski-Kupiainen](#), [Battle-Brydges-Federbush](#), [Lesniewski](#), [Benfatto-Gallavotti](#), [Benfatto-Mastropietro](#), [Feldman-Magnen-Rivasseau-Trubowitz](#), ..., in the last 30 years or so.

# Main strategy, I

Key aspects of the construction:

- the critical theory is **super-renormalizable**, with **scaling dimension**  $3 - n_\psi$  (as in standard graphene)

# Main strategy, I

Key aspects of the construction:

- the critical theory is **super-renormalizable**, with **scaling dimension**  $3 - n_\psi$  (as in standard graphene)
- **lattice symmetries** constraint the number and structure of the relevant and marginal couplings.

# Main strategy, I

Key aspects of the construction:

- the critical theory is **super-renormalizable**, with **scaling dimension**  $3 - n_\psi$  (as in standard graphene)
- **lattice symmetries** constraint the number and structure of the relevant and marginal couplings.

**Renormalized propagator:** if  $\vec{p}_F^\omega = (\frac{2\pi}{3}, \omega \frac{2\pi}{3\sqrt{3}})$ , with  $\omega = \pm$ ,

$$\begin{aligned} \hat{S}_2(k_0, \vec{p}_F^\omega + \vec{k}') &= \\ &= - \begin{pmatrix} ik_0 Z_{1,R,\omega} - m_{R,\omega} & v_{R,\omega}(-ik'_1 + \omega k'_2) \\ v_{R,\omega}(ik'_1 + \omega k'_2) & ik_0 Z_{2,R,\omega} + m_{R,\omega} \end{pmatrix}^{-1} (1 + R(k_0, \vec{k}')) \end{aligned}$$

where:

# Main strategy, I

Key aspects of the construction:

- the critical theory is **super-renormalizable**, with **scaling dimension**  $3 - n_\psi$  (as in standard graphene)
- **lattice symmetries** constraint the number and structure of the relevant and marginal couplings.

**Renormalized propagator:** if  $\vec{p}_F^\omega = (\frac{2\pi}{3}, \omega \frac{2\pi}{3\sqrt{3}})$ , with  $\omega = \pm$ ,

$$\begin{aligned} \hat{S}_2(k_0, \vec{p}_F^\omega + \vec{k}') &= \\ &= - \begin{pmatrix} ik_0 Z_{1,R,\omega} - m_{R,\omega} & v_{R,\omega}(-ik'_1 + \omega k'_2) \\ v_{R,\omega}(ik'_1 + \omega k'_2) & ik_0 Z_{2,R,\omega} + m_{R,\omega} \end{pmatrix}^{-1} (1 + R(k_0, \vec{k}')) \end{aligned}$$

where:

- $R(k_0, \vec{k}')$ : subleading ('irrelevant') error term

# Main strategy, I

Key aspects of the construction:

- the critical theory is **super-renormalizable**, with **scaling dimension**  $3 - n_\psi$  (as in standard graphene)
- **lattice symmetries** constraint the number and structure of the relevant and marginal couplings.

**Renormalized propagator**: if  $\vec{p}_F^\omega = (\frac{2\pi}{3}, \omega \frac{2\pi}{3\sqrt{3}})$ , with  $\omega = \pm$ ,

$$\begin{aligned} \hat{S}_2(k_0, \vec{p}_F^\omega + \vec{k}') &= \\ &= - \begin{pmatrix} ik_0 Z_{1,R,\omega} - m_{R,\omega} & v_{R,\omega}(-ik'_1 + \omega k'_2) \\ v_{R,\omega}(ik'_1 + \omega k'_2) & ik_0 Z_{2,R,\omega} + m_{R,\omega} \end{pmatrix}^{-1} (1 + R(k_0, \vec{k}')) \end{aligned}$$

where:

- $R(k_0, \vec{k}')$ : subleading ('irrelevant') error term
- the effective parameters are given by **convergent** expansions

# Main strategy, I

Key aspects of the construction:

- the critical theory is **super-renormalizable**, with **scaling dimension**  $3 - n_\psi$  (as in standard graphene)
- **lattice symmetries** constraint the number and structure of the relevant and marginal couplings.

**Renormalized propagator:** if  $\vec{p}_F^\omega = (\frac{2\pi}{3}, \omega \frac{2\pi}{3\sqrt{3}})$ , with  $\omega = \pm$ ,

$$\begin{aligned} \hat{S}_2(k_0, \vec{p}_F^\omega + \vec{k}') &= \\ &= - \begin{pmatrix} ik_0 Z_{1,R,\omega} - m_{R,\omega} & v_{R,\omega}(-ik'_1 + \omega k'_2) \\ v_{R,\omega}(ik'_1 + \omega k'_2) & ik_0 Z_{2,R,\omega} + m_{R,\omega} \end{pmatrix}^{-1} (1 + R(k_0, \vec{k}')) \end{aligned}$$

where:

- $R(k_0, \vec{k}')$ : subleading ('irrelevant') error term
- the effective parameters are given by **convergent** expansions

$$\boxed{Z_{1,R,\omega} \neq Z_{2,R,\omega}}$$

## Main strategy, II

**Step 2:** Combining the existence of the g.s. euclidean correlations with a priori bounds on the correlation decay at complex times  $t \in \mathbb{C}^+$ , we infer the analyticity of correlations for  $t \in \mathbb{C}^+$  (via Vitali's theorem)



## Main strategy, II

**Step 2:** Combining the existence of the g.s. euclidean correlations with a priori bounds on the correlation decay at complex times  $t \in \mathbb{C}^+$ , we infer the analyticity of correlations for  $t \in \mathbb{C}^+$  (via Vitali's theorem)

Next, using the  $(\operatorname{Re} t)^{-2}$  decay in complex time, we perform a **Wick rotation** in the time integral entering the definition of  $\sigma_{ij}(U)$ : the integral along the imaginary time axis is the same as the one along the real line

## Main strategy, II

**Step 2:** Combining the existence of the g.s. euclidean correlations with a priori bounds on the correlation decay at complex times  $t \in \mathbb{C}^+$ , we infer the analyticity of correlations for  $t \in \mathbb{C}^+$  (via Vitali's theorem)

Next, using the  $(\operatorname{Re} t)^{-2}$  decay in complex time, we perform a **Wick rotation** in the time integral entering the definition of  $\sigma_{ij}(U)$ : the integral along the imaginary time axis is the same as the one along the real line or, better, as the *limit of the integral along a path shadowing from above the real line*. Existence and exchangeability of the limit follows from **Lieb-Robinson bounds**.

## Main strategy, III

**Step 3:** The universality of the **Euclidean Kubo conductivity** is studied by using **lattice Ward Identities** in the (convergent, renormalized) perturbation theory for  $\sigma_{ij}(U)$ , and by combining them with:

## Main strategy, III

**Step 3:** The universality of the **Euclidean Kubo conductivity** is studied by using **lattice Ward Identities** in the (convergent, renormalized) perturbation theory for  $\sigma_{ij}(U)$ , and by combining them with:

- a priori bounds on the correlations decay;

## Main strategy, III

**Step 3:** The universality of the **Euclidean Kubo conductivity** is studied by using **lattice Ward Identities** in the (convergent, renormalized) perturbation theory for  $\sigma_{ij}(U)$ , and by combining them with:

- a priori bounds on the correlations decay;
- the Schwinger-Dyson equation;

## Main strategy, III

**Step 3:** The universality of the **Euclidean Kubo conductivity** is studied by using **lattice Ward Identities** in the (convergent, renormalized) perturbation theory for  $\sigma_{ij}(U)$ , and by combining them with:

- a priori bounds on the correlations decay;
- the Schwinger-Dyson equation;
- the symmetry under time reversal of the different elements of  $\sigma_{ij}$ .

## Main strategy, III

**Step 3:** The universality of the **Euclidean Kubo conductivity** is studied by using **lattice Ward Identities** in the (convergent, renormalized) perturbation theory for  $\sigma_{ij}(U)$ , and by combining them with:

- a priori bounds on the correlations decay;
- the Schwinger-Dyson equation;
- the symmetry under time reversal of the different elements of  $\sigma_{ij}$ .

The general strategy is analogous to [\[Coleman-Hill '85\]](#): “no corrections beyond 1-loop to the topological mass in QED<sub>2+1</sub>.”

## Conclusions and outlook

- We discussed the **transport properties of interacting fermionic systems** on the hexagonal lattice. In particular: **Haldane-Hubbard model**.



## Conclusions and outlook

- We discussed the **transport properties of interacting fermionic systems** on the hexagonal lattice. In particular: **Haldane-Hubbard model**.
- We presented results about:

## Conclusions and outlook

- We discussed the **transport properties of interacting fermionic systems** on the hexagonal lattice. In particular: **Haldane-Hubbard model**.
- We presented results about:
  - **construction of the ground state** phase diagram and correlations at weak coupling, in cases where  $U \gg \text{gap}$ ,

# Conclusions and outlook

- We discussed the **transport properties of interacting fermionic systems** on the hexagonal lattice. In particular: **Haldane-Hubbard model**.
- We presented results about:
  - **construction of the ground state** phase diagram and correlations at weak coupling, in cases where  $U \gg \text{gap}$ ,
  - **quantization of the transverse and longitudinal conductivities** up to, and on, the renormalized critical line.

# Conclusions and outlook

- We discussed the **transport properties of interacting fermionic systems** on the hexagonal lattice. In particular: **Haldane-Hubbard model**.
- We presented results about:
  - **construction of the ground state** phase diagram and correlations at weak coupling, in cases where  $U \gg \text{gap}$ ,
  - **quantization of the transverse and longitudinal conductivities** up to, and on, the renormalized critical line.

**Tools:** rigorous fermionic RG (determinant expansion, Gram-Hadamard bounds), lattice symmetries, Ward identities, Schwinger-Dyson equation, Lieb-Robinson bounds.

# Conclusions and outlook

- We discussed the **transport properties of interacting fermionic systems** on the hexagonal lattice. In particular: **Haldane-Hubbard model**.
- We presented results about:
  - **construction of the ground state** phase diagram and correlations at weak coupling, in cases where  $U \gg \text{gap}$ ,
  - **quantization of the transverse and longitudinal conductivities** up to, and on, the renormalized critical line.

**Tools:** rigorous fermionic RG (determinant expansion, Gram-Hadamard bounds), lattice symmetries, Ward identities, Schwinger-Dyson equation, Lieb-Robinson bounds.

- Open questions:

# Conclusions and outlook

- We discussed the **transport properties of interacting fermionic systems** on the hexagonal lattice. In particular: **Haldane-Hubbard model**.
- We presented results about:
  - **construction of the ground state** phase diagram and correlations at weak coupling, in cases where  $U \gg \text{gap}$ ,
  - **quantization of the transverse and longitudinal conductivities** up to, and on, the renormalized critical line.

**Tools:** rigorous fermionic RG (determinant expansion, Gram-Hadamard bounds), lattice symmetries, Ward identities, Schwinger-Dyson equation, Lieb-Robinson bounds.

- Open questions:
  - **Spin** transport in time-reversal invariant  $2d$  insulators (e.g., interacting Kane-Mele model)?

# Conclusions and outlook

- We discussed the **transport properties of interacting fermionic systems** on the hexagonal lattice. In particular: **Haldane-Hubbard model**.
- We presented results about:
  - **construction of the ground state** phase diagram and correlations at weak coupling, in cases where  $U \gg \text{gap}$ ,
  - **quantization of the transverse and longitudinal conductivities** up to, and on, the renormalized critical line.

**Tools:** rigorous fermionic RG (determinant expansion, Gram-Hadamard bounds), lattice symmetries, Ward identities, Schwinger-Dyson equation, Lieb-Robinson bounds.

- Open questions:
  - **Spin** transport in time-reversal invariant  $2d$  insulators (e.g., interacting Kane-Mele model)?
  - Interacting **bulk-edge** correspondence?

# Conclusions and outlook

- We discussed the **transport properties of interacting fermionic systems** on the hexagonal lattice. In particular: **Haldane-Hubbard model**.
- We presented results about:
  - **construction of the ground state** phase diagram and correlations at weak coupling, in cases where  $U \gg \text{gap}$ ,
  - **quantization of the transverse and longitudinal conductivities** up to, and on, the renormalized critical line.

**Tools:** rigorous fermionic RG (determinant expansion, Gram-Hadamard bounds), lattice symmetries, Ward identities, Schwinger-Dyson equation, Lieb-Robinson bounds.

- Open questions:
  - **Spin** transport in time-reversal invariant  $2d$  insulators (e.g., interacting Kane-Mele model)?
  - Interacting **bulk-edge** correspondence?
  - Effect of **long-range** interactions (e.g., static Coulomb)?



**Thank you!**